Patent

Attorney's Docket No. 019519-315

DEC 0 1 70 TO THE

THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of |) |
|---|---------------------------|
| Taizo IWAMI et al. |) Group Art Unit: 2832 |
| Application No.: 09/903,815 |) Examiner: L. D. Donovan |
| Filed: July 13, 2001 |) Confirmation No.: 1800 |
| For: POLARIZING DEVICE FOR A PERMANENT MAGNET ROTOR |))) |

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

| [] | No additional fee for submission of an IDS is required. |
|--------|---|
| [X] | The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed. |
| [] | A certification under 37 C.F.R. § 1.97(e) is also enclosed. |
| [·] | A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed. |
| [] | Charge \$ to Deposit Account No. 02-4800 for the fee due. |
| [] | A check in the amount of \$ is enclosed for the fee due. |
| The Co | omnissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. |

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R §§ 1.16, 1.17 and 2.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

12/04/2003 GWDRDOF1 00000028 09903815

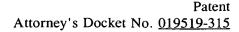
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P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: December 1, 2003

Douglas H. Pearson Registration No. 47,851





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

- 1) U.S. 5,861,696
- 2) DE 44 17 903;
- 3) JP 61-52143; and
- 4) PCT/WIPO 99/35729

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

Information Disclosure Statement Application No. <u>09/903,815</u> Attorney's Docket No. <u>019519-315</u> Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Also enclosed is a copy of search report dated August 29, 2003, from the European Patent Office received in connection with a foreign counterpart application listing the above-noted documents.

Respectfully submitted, Burns, Doane, Swecker & Mathis, L.L.P.

Date: December 1, 2003

Douglas H. Pearson

Registration No. 47,851

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

SHEET 1 OF 1

Substitute for forms 1449A/PTO & 1449B/PTO

Signature

THIRD INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| ATTORNEY'S DKT NO. 019519-315 | APPLICATION No. 09/903,815 |
|-------------------------------|---------------------------------------|
| APPLICANT Taizo IWAMI et al. | · · · · · · · · · · · · · · · · · · · |
| | |
| FILING DATE | GROUP |
| July 13, 2001 | 2832 |

| Examiner Initials | | | ı | J.S. PATENT DOC | JMENTS | | | | | |
|---|----------|---|------------------|--|-------------------------|------------------|---------------------|---|-------------|--|
| FOREIGN PATENT DOCUMENTS Examiner Initials Number (if known) Country (MM-DD-YYYY) Yes 44 17 903 A1 Germany 11-30-1995 Abst 61-52143 A Japan 03-14-1986 Abst WO 99/35729 A2 PCT/WIPO 07-15-1999 NON PATENT LITERATURE DOCUMENTS Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the stem (book, magazine, journal, serial, symposium, cataloo, etc.), date, page(s), volume-issue number(s). | | | | Kind Code Name of Patentee or Applicant (if known) of Cited Document | | | | Issue/Publication Date (MM-DD-YYYY) 01-19-1999 | | |
| Examiner Initials Document Number (if known) Country (MM-DD-YYYY) Yes 44 17 903 A1 Germany 11-30-1995 Abst 61-52143 A Japan 03-14-1986 Abst WO 99/35729 A2 PCT/WIPO 07-15-1999 NON PATENT LITERATURE DOCUMENTS Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s). | | 5,861,696 | | | | | 0. | | | |
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| 44 17 903 A1 Germany 11-30-1995 Abst 61-52143 A Japan 03-14-1986 Abst WO 99/35729 A2 PCT/WIPO 07-15-1999 NON PATENT LITERATURE DOCUMENTS Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s). | Examiner | Document | | REIGN PATENT DOCUMENTS | | Date o | Date of Publication | | Translation | |
| 61-52143 A Japan 03-14-1986 Abst WO 99/35729 A2 PCT/WIPO 07-15-1999 NON PATENT LITERATURE DOCUMENTS Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s). | Initials | | | | | | | | No | |
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| Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s). | | WO 99/35729 | AZ | PC1/V | VIPO | 07- | 15-1999 | | | |
| Initials Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | Includ | de name of autho | r (in CAPITAL LETTERS) |), title of the article | e (when appropri | ate), title of the | | | |
| | | publisher, city and/or country where published. | | | | | | | | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Considered